

## Lighting and Shading

Light Sources  
Phong Illumination Model  
Normal Vectors  
[Angel Ch. 6.1-6.4]

Jernej Barbic  
University of Southern California

1

## Outline

- Global and Local Illumination
- Normal Vectors
- Light Sources
- Phong Illumination Model

2

## Global Illumination

- Ray tracing
- Radiosity
- Photon Mapping
- Follow light rays through a scene
- Accurate, but expensive (off-line)



Tobias R. Metoc

3

## Raytracing Example



Martin Moeck,  
Siemens Lighting

4

## Radiosity Example

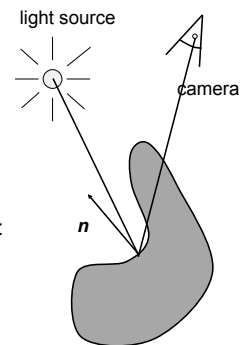


Restaurant Interior. Guillermo Leal, Evolucion Visual

5

## Local Illumination

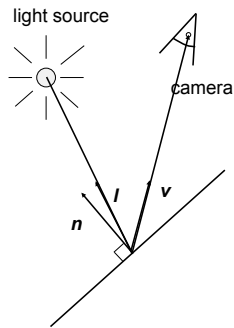
- Approximate model
- Local interaction between light, surface, viewer
- Phong model (this lecture): fast, supported in OpenGL
- GPU shaders
- Pixar Renderman (offline)



6

## Local Illumination

- Approximate model
- Local interaction between light, surface, viewer
- Color determined only based on surface normal, relative camera position and relative light position
- What effects does this ignore?



7

## Outline

- Global and Local Illumination
- Normal Vectors
- Light Sources
- Phong Illumination Model

8

## Normal Vectors

- Must calculate and specify the normal vector
  - Even in OpenGL!
- Two examples: plane and sphere

9

## Normals of a Plane, Method I

- Method I: given by  $ax + by + cz + d = 0$
- Let  $p_0$  be a known point on the plane
- Let  $p$  be an arbitrary point on the plane
- Recall:  $u \cdot v = 0$  if and only if  $u$  orthogonal to  $v$
- $n \cdot (p - p_0) = n \cdot p - n \cdot p_0 = 0$
- Consequently  $n_0 = [a \ b \ c]^T$
- Normalize to  $n = n_0/|n_0|$

10

## Normals of a Plane, Method II

- Method II: plane given by  $p_0, p_1, p_2$
- Points must not be collinear
- Recall:  $u \times v$  orthogonal to  $u$  and  $v$
- $n_0 = (p_1 - p_0) \times (p_2 - p_0)$
- Order of cross product determines orientation
- Normalize to  $n = n_0/|n_0|$

11

## Normals of Sphere

- Implicit Equation  $f(x, y, z) = x^2 + y^2 + z^2 - 1 = 0$
- Vector form:  $f(p) = p \cdot p - 1 = 0$
- Normal given by gradient vector

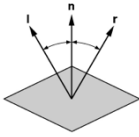
$$n_0 = \begin{bmatrix} \frac{\partial f}{\partial x} \\ \frac{\partial f}{\partial y} \\ \frac{\partial f}{\partial z} \end{bmatrix} = \begin{bmatrix} 2x \\ 2y \\ 2z \end{bmatrix} = 2p$$

- Normalize  $n_0/|n_0| = 2p/2 = p$

12

## Reflected Vector

- Perfect reflection: angle of incident equals angle of reflection
- Also:  $l$ ,  $n$ , and  $r$  lie in the same plane
- Assume  $|l| = |n| = 1$ , guarantee  $|r| = 1$



$$l \cdot n = \cos(\theta) = n \cdot r$$

$$r = \alpha l + \beta n$$

$$\text{Solution: } \alpha = -1 \text{ and } \beta = 2(l \cdot n)$$

$$r = 2(l \cdot n)n - l$$

13

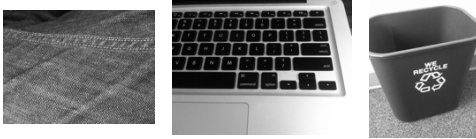
## Outline

- Global and Local Illumination
- Normal Vectors
- Light Sources
- Phong Illumination Model

14

## Light Sources and Material Properties

- Appearance depends on
  - Light sources, their locations and properties
  - Material (surface) properties:



– Viewer position

15

## Types of Light Sources

- Ambient light: no identifiable source or direction
- Point source: given only by point
- Distant light: given only by direction
- Spotlight: from source in direction
  - Cut-off angle defines a cone of light
  - Attenuation function (brighter in center)



16

## Point Source

- Given by a point  $p_0$
- Light emitted equally in all directions
- Intensity decreases with square of distance

$$I \propto \frac{1}{|p - p_0|^2}$$

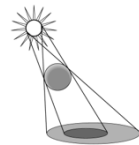
17

## Limitations of Point Sources

- Shading and shadows inaccurate
- Example: penumbra (partial “soft” shadow)
- Similar problems with highlights
- Compensate with attenuation

$$\frac{1}{a + bq + cq^2} \quad \begin{array}{l} q = \text{distance } |p - p_0| \\ a, b, c \text{ constants} \end{array}$$

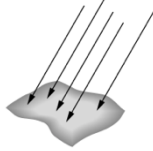
- Softens lighting
- Better with ray tracing
- Better with radiosity



18

## Distant Light Source

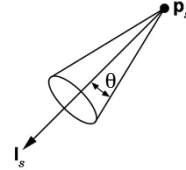
- Given by a direction vector
- Simplifies some calculations
- In OpenGL:
  - Point source  $[x \ y \ z \ 1]^T$
  - Distant source  $[x \ y \ z \ 0]^T$



19

## Spotlight

- Most complex light source in OpenGL
- Light still emanates from point
- Cut-off by cone determined by angle  $\theta$



20

## Global Ambient Light

- Independent of light source
- Lights entire scene
- Computationally inexpensive
- Simply add  $[G_R \ G_G \ G_B]$  to every pixel on every object
- Not very interesting on its own.  
A cheap hack to make the scene brighter.

21

## Outline

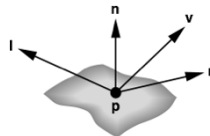
- Global and Local Illumination
- Normal Vectors
- Light Sources
- Phong Illumination Model

22

## Phong Illumination Model

- Calculate color for arbitrary point on surface
- Compromise between realism and efficiency
- Local computation (no visibility calculations)
- Basic inputs are material properties and  $I, n, v$ :

$I$  = unit vector to light source  
 $n$  = surface normal  
 $v$  = unit vector to viewer  
 $r$  = reflection of  $I$  at  $p$   
(determined by  $I$  and  $n$ )



23

## Phong Illumination Overview

1. Start with global ambient light  $[G_R \ G_G \ G_B]$
2. Add contributions from each light source
3. Clamp the final result to  $[0, 1]$

- Calculate each color channel (R,G,B) **separately**
- Light source contributions decomposed into
  - Ambient reflection
  - Diffuse reflection
  - Specular reflection
- Based on ambient, diffuse, and specular lighting and material properties

24

## Ambient Reflection

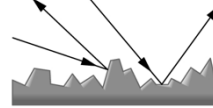
$$I_a = k_a L_a$$

- Intensity of ambient light is uniform at every point
- Ambient reflection coefficient  $k_a$ ,  $0 \leq k_a \leq 1$
- May be different for every surface and r,g,b
- Determines reflected fraction of ambient light
- $L_a$  = ambient component of light source (can be set to different value for each light source)
- Note:  $L_a$  is not a physically meaningful quantity

25

## Diffuse Reflection

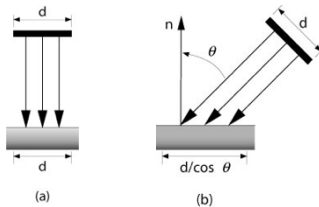
- Diffuse reflector scatters light
- Assume equally all direction
- Called Lambertian surface
- Diffuse reflection coefficient  $k_d$ ,  $0 \leq k_d \leq 1$
- Angle of incoming light is important



26

## Lambert's Law

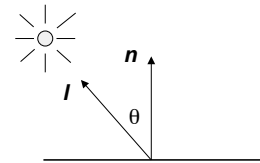
Intensity depends on angle of incoming light.



27

## Diffuse Light Intensity Depends On Angle Of Incoming Light

- Recall
- $l$  = unit vector to light
- $n$  = unit surface normal
- $\theta$  = angle to normal
- $\cos \theta = l \cdot n$



$$I_d = k_d L_d (l \cdot n)$$

- With attenuation:

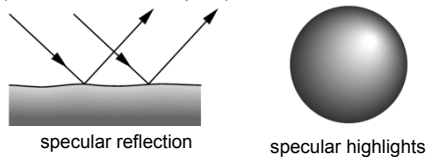
$$I_d = \frac{k_d L_d}{a + bq + cq^2} (l \cdot n)$$

$q$  = distance to light source,  
 $L_d$  = diffuse component of light

28

## Specular Reflection

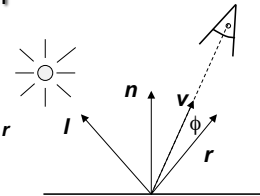
- Specular reflection coefficient  $k_s$ ,  $0 \leq k_s \leq 1$
- Shiny surfaces have high specular coefficient
- Used to model specular highlights
- Does not give mirror effect (need other techniques)



29

## Specular Reflection

- Recall
- $v$  = unit vector to camera
- $r$  = unit reflected vector
- $\phi$  = angle between  $v$  and  $r$
- $\cos \phi = v \cdot r$



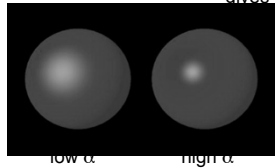
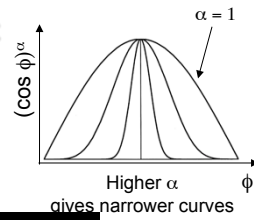
$$I_s = k_s L_s (\cos \phi)^\alpha$$

- $L_s$  is specular component of light
- $\alpha$  is shininess coefficient
- Can add distance term as well

30

## Shininess Coefficient

- $I_s = k_s L_s (\cos \phi)^\alpha$
- $\alpha$  is the shininess coefficient



Source:  
Univ. of Calgary

31

## Summary of Phong Model

- Light components for each color:
  - Ambient ( $L_a$ ), diffuse ( $L_d$ ), specular ( $L_s$ )
- Material coefficients for each color:
  - Ambient ( $k_a$ ), diffuse ( $k_d$ ), specular ( $k_s$ )
- Distance  $q$  for surface point from light source

$$I = \frac{1}{a + bq + cq^2} (k_d L_d (l \cdot n) + k_s L_s (r \cdot v)^\alpha) + k_a L_a$$

$l$  = unit vector to light

$r = l$  reflected about  $n$

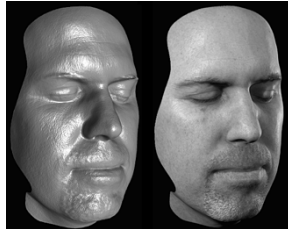
$n$  = surface normal

$v$  = vector to viewer

32

## BRDF

- Bidirectional Reflection Distribution Function
- Must measure for real materials
- Isotropic vs. anisotropic
- Mathematically complex
- Programmable pixel shading



Lighting properties of a human face were captured and face re-rendered; Institute for Creative Technologies

33

## Summary

- Global and Local Illumination
- Normal Vectors
- Light Sources
- Phong Illumination Model

34